# INFORMATION DISCLOSURE STATEMENT BY APPLICANT ( Not for submission under 37 CFR 1.99)

|  | Application Number                                   |      | 10806934       |  |  |
|--|--|------|----------------|--|--|
|  | Filing Date  |      | 2004-03-22     |  |  |
|  | First Named Inventor                                 | BAGA | AOISAN, Celso  |  |  |
|  | Art Unit  Examiner Name WITC  Attorney Docket Number |      | 3767           |  |  |
|  |  |      | ZAK, Catherine |  |  |
|  |  |      | ACI-004        |  |  |

|                      |            |               |                           | U.S.       | PATENTS   | Remove  |
|----------------------|------------|---------------|---------------------------|------------|---|---|
| Examiner<br>Initial* | Cite<br>No | Patent Number | Kind<br>Code <sup>1</sup> | Issue Date | Name of Patentee or Applicant of cited Document | Pages,Columns,Lines where<br>Relevant Passages or Relevan<br>Figures Appear |
|                      | 1          | 5292332       |                           | 1994-03-08 | Lee   |   |
|                      | 2          | 5334216       |                           | 1994-08-02 | Vidal   |   |
|                      | 3          | 5550187       |                           | 1996-08-27 | Rhee  |   |
|                      | 4          | 5571181       |                           | 1996-11-05 | u   |   |
|                      | 5          | 5580923       |                           | 1996-12-03 | Yeung   |   |
|                      | 6          | 5643464       |                           | 1997-07-01 | Rhee  |   |
|                      | 7          | 5957952       |                           | 1999-09-28 | Gershony  |   |
|                      | 8          | 6056768       |                           | 2000-05-02 | Cates   |   |

| 9  | 6083522 | 2000-07-02 | Chu      |  |
|----|---------|------------|----------|--|
| 10 | 6152943 | 2000-11-28 | Sawhney  |  |
| 11 | 6165201 | 2000-12-26 | Sawhney  |  |
| 12 | 6179862 | 2001-01-30 | Sawhney  |  |
| 13 | 6238412 | 2001-05-29 | Dubrul   |  |
| 14 | 6296658 | 2006-10-24 | Gershony |  |
| 15 | 6379373 | 2002-04-30 | Sawhney  |  |
| 16 | 6514534 | 2003-02-04 | Sawhney  |  |
| 17 | 6566406 | 2003-05-20 | Pathak   |  |
| 18 | 6605294 | 2003-08-12 | Sawhney  |  |
| 19 | 6635068 | 2003-10-21 | Dubrul   |  |

INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99)

20 6689148

|                    | Application Number<br>Filing Date |      | 10806934       |
|--------------------|-----------------------------------|------|----------------|
|                    |                                   |      | 2004-03-22     |
|                    | First Named Inventor BAGA         |      | AOISAN, Celso  |
|                    | Art Unit                          |      | 3767           |
| Examiner Name WITC |                                   | WITC | ZAK, Catherine |
|                    | Attanana Dankat Manhar            |      | ACI 004        |

|                      | 20         | 6689148                  |                           | 2004-02-10          | Sawtiney  |        |  |
|----------------------|------------|--------------------------|---------------------------|---------------------|---|--------|--|
|                      | 21         | 6703047                  |                           | 2004-03-09          | Sawhney   |        |  |
|                      | 22         | 6818008                  |                           | 2004-11-16          | Cates   |        |  |
|                      | 23         | 6818018                  |                           | 2004-11-16          | Sawhney   |        |  |
|                      | 24         | 6887974                  |                           | 2005-05-03          | Pathak  |        |  |
| If you wis           | h to a     | dd additional U.S. Paten | t citatio                 | n information pl    | ease click the Add button.                      |        | Add  |
|                      |            |                          | U.S.P                     | ATENT APPLI         | CATION PUBLICATIONS                             |        | Remove   |
| Examiner<br>Initial* | Cite<br>No | Publication Number       | Kind<br>Code <sup>1</sup> | Publication<br>Date | Name of Patentee or Applicant of cited Document | Releva | Columns,Lines where<br>nt Passages or Relevant<br>s Appear |
|                      | 1          | 20010046518              |                           | 2001-11-29          | Sawhney   |        |  |
|                      |            |                          |                           |                     |   |        |  |
|                      | 2          | 20010051813              |                           | 2001-12-13          | Hnojewyj  |        |  |
|                      | 2          | 20010051813              |                           | 2001-12-13          | Hnojewyj  |        |  |
|                      | _          |                          |                           |                     |   |        |  |

2004-02-10

INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99)

| Application Number   |                  | 10806934       |
|----------------------|------------------|----------------|
| iling Date           |                  | 2004-03-22     |
| irst Named Inventor  | BAGAOISAN, Celso |                |
| Art Unit             |                  | 3767           |
| Examiner Name        | WITC             | ZAK, Catherine |
| Mornou Docket Number |                  | ACI 004        |

|  | 5          | 2003      | 0051735                          |                              | 2003-03  | 1-20                      | Pavonik           |  |          |  |    |  |
|--|------------|-----------|----------------------------------|------------------------------|----------|---------------------------|-------------------|--|----------|--|----|--|
|  | 6          | 2004      | 0122350                          |                              | 2004-06  | i-24                      | Zhong             |  |          |  |    |  |
| If you wish to add additional U.S. Published Application citation information please click the Add button. Add |            |           |                                  |                              |          |                           |                   |  |          |  |    |  |
|  |            |           |                                  |                              | FOREIG   | 3N PAT                    | TENT DOCUM        | ENTS   |          | Remove   |    |  |
| Examiner<br>Initial*   | Cite<br>No | Fore      | ign Document<br>ber <sup>3</sup> | Country<br>Code <sup>2</sup> |          | Kind<br>Code <sup>4</sup> |                   | Name of Patents<br>Applicant of cite<br>Document |          | Pages,Columns,Lines<br>where Relevant<br>Passages or Relevan<br>Figures Appear | Ts |  |
|  | 1          | wo s      | 92/22252                         | wo                           |          |                           | 1992-12-23        | Douss  |          |  |    |  |
|  | 2          | woo       | 0/19912                          | wo                           |          |                           | 2001-04-13        | White  |          |  |    |  |
|  | 3          | wo e      | 13/094749                        | wo                           |          |                           | 2003-11-20        | Addis  |          |  |    |  |
| If you wis   | h to a     | dd add    | fitional Foreign P               | atent Do                     | cument   | citation                  | information pl    | ease click the Ad                                | d buttor | Add  |    |  |
|  |            |           |                                  | NON                          | I-PATE   | NT LITE                   | RATURE DO         | CUMENTS  |          | Remove   |    |  |
| Examiner<br>Initials*  | Cite<br>No | (bool     |                                  | nal, seri                    | al, symp | osium,                    | catalog, etc), o  | the article (when<br>date, pages(s), vo          |          | iate), title of the item<br>sue number(s),                                     | Ţ5 |  |
|  | 1          |           |                                  |                              |          |                           |                   |  |          |  |    |  |
| If you wis   | h to a     | dd add    | fitional non-paten               | t literatu                   | re docu  | ment cit                  | ation informati   | on please click th                               | e Add b  | outton Add   | _  |  |
|  |            |           |                                  |                              | EX       | AMINE                     | R SIGNATUR        | E  |          |  |    |  |
| Examiner   | Signa      | ture      |                                  |                              |          |                           |                   | Date Consid                                      | ered     |  |    |  |
| *EXAMIN  | ER: In     | nitial if | reference conside                | ered, wh                     | ether or | not cita                  | ation is in confi | ormance with MP                                  | EP 609.  | Draw line through a  |    |  |

citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

|  | Application Number        |  | 10806934        |  |
|--|---------------------------|--|-----------------|--|
|  | Filing Date               |  | 2004-03-22      |  |
| INFORMATION DISCLOSURE<br>STATEMENT BY APPLICANT | First Named Inventor BAGA |  | AOISAN, Celso   |  |
| (Not for submission under 37 CFR 1.99)           | Art Unit                  |  | 3767            |  |
| (Not for Submission under 57 OFK 1.33)           | Examiner Name WITC        |  | CZAK, Catherine |  |
|  | Attorney Docket Number    |  | ACI-004         |  |

See Kind Code of USPTO Petert Documents at invest, ISETIG.ODV or MEPF 961.04. It better dots that issued the document, by the lockletic code (WIPO Standard S13.) If the planeses patient document, the includation of the parent for the grant or the representation process the sensal number of the patient for sensal for some of the parent for the patient contained by the appropriate symbols as indicated on the document under WIPO Standard S11.6 if possible. Applicant is to place a check mark then if project interpretations and standard.

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT ( Not for submission under 37 CFR 1.99)

| Application Number        |      | 10806934       |  |
|---------------------------|------|----------------|--|
| Filing Date               |      | 2004-03-22     |  |
| First Named Inventor BAGA |      | AOISAN, Celso  |  |
| Art Unit                  |      | 3767           |  |
| Examiner Name             | WITC | ZAK, Catherine |  |
| Attorney Docket Number    |      | ACI-004        |  |

### CERTIFICATION STATEMENT

| Diagra con | 37 | CFR . | 1 97 | and | 1 02 | to make | the | annonnista | selection(s) | , |
|------------|----|-------|------|-----|------|---------|-----|------------|--------------|---|
|            |    |       |      |     |      |         |     |            |              |   |

| That each item of information contained in the information disclosure statement was first cited in any communication    |
|---|
| from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the |
| information disclosure statement. See 37 CFR 1.97(e)(1).  |

#### OR

That no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any involved designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(eV).

| ٦ | See | attached | certification | statement. |
|---|-----|----------|---------------|------------|

Fee set forth in 37 CFR 1.17 (p) has been submitted herewith.

▼ None

#### SIGNATURE

A signature of the applicant or representative is required in accordance with CFR 1.33, 10.18. Please see CFR 1.4(d) for the form of the signature.

| or the signature. |                      |                     |            |
|-------------------|----------------------|---------------------|------------|
| Signature         | /william a. english/ | Date (YYYY-MM-DD)   | 2006-12-28 |
| Name/Print        | William A. Fnolish   | Registration Number | 42 515     |

This collection of information is required by 3T CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file fand by the USPTO to process) an application. Confidentiality is governed by \$5 U.S. C. 12.0 and 3T CFR 1.14. This collection is estimated to take 1 hour to complete, including gathering, preparing and submitting the completed application from the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete his form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. operatment of Commence, P. 0. Box 1450, Alexandria, V.S. 2313-1450. DIO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, V.S. 2313-1450.

## Privacy Act Statement

The Privacy Act of 1974 (P. L. 93-579) requires that you be given certain information in connection with your submission of the stackhold from related to a patient application or patient. Accordingly, pursuant to the requirements of the Act, places be advised that (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) familishing of the information solicided is couldrain; and (3) the primoral pursuance for which the information is used by the U.S. Patient and Trademan Coffice is to process and/or examine your submission related to a patient agricultant or patient. If you do not furnish the requested process and/or examine your submission related to a patient agricultant or patient. If you do not furnish the requested results of the patient of the patient and the patient of the patient

The information provided by you in this form will be subject to the following routine uses:

- The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C. 552). Records from this system of records may disclosed to the Department of Justice to determine where the Freedom of Information Act requires disclosure of these records.
  - A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiation.
  - A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
  - A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552(m).
  - A record related to an International Application filed under the Patent Cooperation Treaty in this system of records
    may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant
    to the Patent Cooperation Treaty.
  - A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
  - 7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or hisher designed, uturing an insection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 4d U.S.C. 2904 and 2905. Such disclosure shall be made in accordance with the GSA requisions governing inseption of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
- A record from this system of records may be disclosed, as a routine use, to the public after either publication of the
  application pursuant to 35 U.S.C. 12(2) to rissuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be
  disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filled in application
  which became abandoned or in which the proceedings were terminated and which application is referenced by either a
  published application, an application open to public inspections or as issued patent.
  - A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.